



TAX PREPARERS' PROFESSIONAL LIABILITY APPLICATION

Name of Business (Exact Name)			
Address (include any branch location addresses)			
(Street and Number)		(City)	
(State)		(Zip)	
Type of Business <input type="checkbox"/> CPA Firm <input type="checkbox"/> Attorney <input type="checkbox"/> Financial Planner <input type="checkbox"/> Enrolled Agent <input type="checkbox"/> Accountant <input type="checkbox"/> Independent Practitioner		Total Number of Owners and Employees (Include part-time)	Number of Offices
		Amount of Coverage Requested <input type="checkbox"/> \$10,000 <input type="checkbox"/> \$25,000	
Please note that this is a claims-made policy.			
Do you currently carry errors and omissions insurance? <input type="checkbox"/> Yes <input type="checkbox"/> No Please provide us with details and amounts of any previous claims and their status. (Use a separate sheet of paper if necessary.) \$ _____ _____ _____			
Are you a C.P.A.? <input type="checkbox"/> Yes <input type="checkbox"/> No Number of years of experience preparing tax returns? _____			
Are you an Enrolled Agent? <input type="checkbox"/> Yes <input type="checkbox"/> No		What types of returns does your firm prepare? <input type="checkbox"/> Personal <input type="checkbox"/> Commercial	
Have you and your other supervisors attended a continuing education course in the last year? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Does your firm subscribe to a tax reporter service or similar publications? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Are the reporter updates required reading for all tax preparers in your firm? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Does your firm utilize an outside tax preparation service? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If yes, does the service hold you harmless for liability that may be incurred as a result of their performance? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Does your firm utilize an in-house computer with a tax preparation software package? <input type="checkbox"/> Yes <input type="checkbox"/> No If no, please briefly explain how tax forms are prepared.			
Is there a review of all tax preparation by a supervisor, who is not involved in that preparation, prior to releasing the return? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Have you or any member of your firm been subject to a tax preparer's fine(s) or penalty levied by the Internal Revenue Service, or to disciplinary action by any state board of accountancy, AICPA, or state society? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please list the dates, dollar amounts, and other specifics.			
Has your firm had a peer review under the sponsorship of the AICPA, a state society, or any other professional association, in the last three (3) years? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, were any deficiencies found regarding tax preparation? If so, what steps have been taken to prevent recurrence?			
The applicant hereby warrants that, to the best of his/her/its knowledge, no facts currently exist which could reasonably give rise to a claim against this policy.			
Applicant's Signature _____		Date: _____	

Check here if this has been previously faxed to us.

Your Western Surety Company Agent is:			
Address _____			
Street			
City		State	
Agent's Code _____		Zip _____	

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.